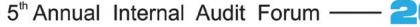
Audit Masters 🕥





Contraction data in

Learn from Mark Carawan how to adapt to upcoming regulations

AML and KYC

Cybersecurity

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Y Regulatory reform and the role of internal audit

- Of Challenges of the ever changing regulatory environment
- **O** Lessons learned from the past
- *G* Future forecast

En a

Mark Carawan Chief Auditor Citigroup, Inc. United States of America



Industry 4.0

minuous auditing

COSO/ERM



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Key Takeaways

- **Regulatory reforms** Learn how to adapt to the upcoming regulations
- Industry 4.0
- Get an overview about digital transformation **CyberSecurity**
- Get know where internal audit can help AML & KYC
- Hear about the challenges and opportunities

Among the Speakers



Gijs Borghouts Chief Auditor, Risk & Finance Royal Bank of Scotland, Internal Audit United Kingdon

Michael Lerch

Chief Audit Executive

HSH Nordbank AG

Germany



John Bree Former Managing Director COO Corporate Services | Global Procurement Deutsche Bank United States of America



Duarte Pitta Ferraz Professor NOVA School of Business & Economics Portugal



Mark Carawan Chief Auditor Citigroup, Inc. United States of America



Csaba Hatvani Senior Auditor, CIA European Court of Auditors mbourg



Fraud Risk Management

COSO/ERM

Discover the key objectives **Continuous auditing**

Explore the core of IT Audit

Gain insight about the implementation

Find out more about the ERM model **Information Technology Audit**

Nanna Huld Aradottir Chief Audit Executive Central Bank of Iceland Iceland



Jana Báčová Executive Director of General Secretariat and Secretary to the Board **Czech National Bank** Czech Republic



Christian Schleicher Vice President Internal Audit UniCredit Bank AG Germany



Tolga Aksov Director Expense Management GarantiBank International NV The Netherlands



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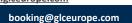
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Conference Producer

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5th Annual Internal Audit Forum 11-12 May 2017 Crowne Plaza Berlin-Potsdamer Platz, Berlin - Germany



Exclusive Speaker Line-up



Gijs Borghouts Chief Auditor, Risk & Finance Royal Bank of Scotland, Internal Audit United Kingdom



Michael Lerch Chief Audit Executive HSH Nordbank AG Germany



Cihan Vural Executive Vice President Internal Systems Burgan Bank A.S. Turkev



John Bree Former Managing Director COO Corporate Services | Global Procurement Deutsche Bank United States of America



Duarte Pitta Ferraz Professor NOVA School of Business & Economics Portugal



Global Audit Division Head CAS- Wholesale Banking ING The Netherlands

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Mark Carawan Chief Auditor Citigroup, Inc. United States of America



Executive Director of General Secretariat and Secretary to the Board Czech National Bank Czech Republic



Dr. Zoltan Tompa CIA, CISA Head of Internal Audit Bank of China Hungary



Csaba Hatvani Senior Auditor, CIA European Court of Auditors Luxembourg



Nanna Huld Aradottir Chief Audit Executive Central Bank of Iceland



Dr. Andrzej S. Kulik Head of Internal Audit mBank

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Christian Schleicher Vice President Internal Audit UniCredit Bank AG Germany



Tolga Aksoy Director Expense Management GarantiBank International NV The Netherlands



Linda Post Global Audit Division Head IT Audit ING Bank The Netherlands



Martin Schmitt Divisional Head of Group Audit Risk & Finance Commerzbank AG Germany





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DAY1

08:30 Welcome Coffee and Registration

08:55 Greetings from the Project Lead and GLC Icebreaker Session

09:05 Opening Remarks from the Chair

Gijs Borghouts

Chief Auditor, Risk & Finance Royal Bank of Scotland, Internal Audit - United Kingdom

09:10 Regulatory reform and the role of internal audit

- Challenges of the ever changing regulatory environment
- How to adapt the upcoming regulations?
- Lessons learned from the past
- Future forecast
- Q & A Session

Mark Carawan Chief Auditor

Citigroup, Inc. - United States of America

09:50 Challenges auditing Risk and Compliance functions

- How to keep Internal Auditors 'Fit [& Proper]' in their role
- Technical challenges of Risk and Compliance
- Ethical dilemmas of the 4th Line-of-Defence

Q & A Session

Duarte Pitta Ferraz

Professor NOVA School of Business & Economics - Portugal

13:00 Luncheon & Networking

Luncheon together with Speakers, Panelists, & Delegates with an exclusive Buffet Lunch spread



14:00 How does COSO/ERM fit in your methodology?

- The ERM model
 - Internal Auditing and ERM: Fitting in and Adding Value
 - Effectiveness and Limitations
 - Compliance with applicable laws and regulations
- Roles and Responsibilities Q & A Session

Dr. Andrzej S. Kulik, CFA, PRM

Head of Internal Audit - mBank - Poland

14:40 Auditing, monitoring, and follow-up

- Performance Audit Methodology
- Why recommendations matter
- How to follow-up corrective actions
- Q & A Session

Csaba Hatvani

Senior Auditor, CIA **European Court of Auditors - Luxembourg**

15:20 Tea, Coffee, Networking & Exhibits



10:30 Auditing capital and stress testing

- Why does it matter and where do you start...?
- What are the roles of the first, the second and the third line of defence in stress testing?
- How to audit models?
- What does a good audit plan look like?

Q & A Session

Giis Borahouts Chief Auditor, Risk & Finance Royal Bank of Scotland, Internal Audit **United Kingdom**

11:10 Tea, Coffee, Networking & Exhibits

11:40 Industry 4.0 vs Internal Audit

Q & A Session

Cihan Vural Executive Vice President Internal Systems Burgan Bank A.S. - Turkey

12:20 Auditing CyberSecurity

Q & A Session Linda Post Global Audit Division Head IT Audit **ING Bank - The Netherlands**

15:40 OPEN PANEL DISCUSSION: The challenge of auditing culture

- How to plan, implement and evaluate
- Effective strategies and practical considerations

16:20 Improving Risk Assurance & Audit Efficiency implementing **Continuous Auditing**

- Defining CA and objectives in a traditional audit function
- Leveraging on data analysis
- Focusing on Key Audit Indicators
- Challenges for a successful implementation

Q & A Session

Christian Schleicher Vice President Internal Audit

UniCredit Bank AG - Germany

17:00 Effective stakeholder management

- Internal Audits' Stakeholders
- Key Principles for Stakeholder Management
- Effectiveness and Efficiency
- Benefit and Value
- Q & A Session

Michael Lerch

Chief Audit Executive HSH Nordbank AG - Germany

17:40 Closing Remarks from the Chair & Networking

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18:00 End of Day 1

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DAY2

08:30 Tea, Coffee & Networking

09:00 Opening Remarks from the Chair

Gijs Borghouts

Chief Auditor, Risk & Finance Royal Bank of Scotland, Internal Audit - United Kingdom

09:10 Internal Audit and Corporate Governance

- Internal Audit Role in Corporate Governance and 2017 IPPF
- Stakeholders Expectation
- Enhancing corporate governance through auditing
- Q & A Session

Jana Báčová

Executive Director of General Secretariat and Secretary to the Board Czech National Bank - Czech Republic

09:50 Investigation Methodology in Today's Banking Environment

- The rapid increase in transaction velocity
- Outcomes of the previous tried and true methods
- Importance of the use of predictive analytics
- Q & A Session

John Bree

Former Managing Director COO Corporate Services | Global Procurement Deutsche Bank - United States of America

10:30 Importance of risk management and internal control in corporate governance

- Why are Risk Management and Internal Control important?
- Establishing effective systems
- Monitoring and control activities
- Questions to consider
- The emerging superstardom of the CROs
- Q & A Session

Dr. Zoltan Tompa CIA, CISA Head of Internal Audit

Bank of China - Hungary

11:10 Tea, Coffee, Networking & Exhibits

11:40 Auditing AML and KYC

- Importance of an effective AML/KYC Program
- Key components
- AML Audit report flow
- Challenges and Opportunities

Q & A Session

Willem Jan Megens

Global Audit Division Head CAS– Wholesale Banking ING - The Netherlands

13:00 Luncheon & Networking

Luncheon together with Speakers, Panelists, & Delegates with an exclusive Buffet Lunch spread



14:00 OPEN PANEL DISCUSSION: Internal pressures challenge for internal auditors' objectivity

- Is internal pressure a pervasive threat on internal auditors' objectivity?
- How to avoid pressure from management?
- Effective ways to identify the threat and its significance
- Safeguards

14:40 Third Party Risk Management

- Selection
- Due Diligence and Risk Assessment
- Contracting
- Monitoring and Auditing
- Termination

Q & A Session

Tolga Aksoy Director Expense Management GarantiBank International NV - The Netherlands

15:20 Tea, Coffee, Networking & Exhibits

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15:50 Is Strategy Audit part of your portfolio?

- What is Strategy Audit?
- What is the importance of Strategy audit?
- How to audit your business strategy
- Clarify crucial areas
- Q & A Session

Martin Schmitt

Divisional Head of Group Audit Risk & Finance Commerzbank AG - Germany

16:30 The concept of the "four lines of defence" model in Banks

- Weaknesses and past failures of three-lines-of-defence model
- Relationship between functions of the third and fourth line of defence
- How to optimize?
- Roles and responsibilities

Q & A Session

17:10 Closing Remarks from the Chair & Farewell Networking

17:45 End of Day 2

- 12:20 Challenges of a Small Audit Function facing Quality Assessment
 - Challenges and opportunities of a Small Audit Function
 - Importance of following the Standards. Does one size fit all?
 - Importance of efficiency and effectiveness
 - Continuous improvement Doing more with limited resources

Q & A Session

Nanna Huld Aradottir Chief Audit Executive Central Bank of Iceland Iceland





Decoming Conferences BANKING, INSURANCE & FINANCE

3rd Annual Credit Risk Management Forum	March 2017
5th Banking & Insurance Internal Audit Forum	May 2017
Sth Annual Retail Banking Forum	May 2017
IFRS9 Implementation MasterClass	May 2017
Internal Audit for Banks Workshop & MasterClass	May 2017
Cyber Security for Banks Workshop & MasterClass	May 2017
MiFID II Workshop & MasterClass	May 2017
6th Annual Collateral Management Forum	June 2017
7th Annual Risk Management Forum	September 2017
4th Annual European Payment Forum	September 2017
Sth Annual Back Office Operations Forum	November 2017
Operational Risk Management Forum	November 2017
Sth Annual Corporate Banking Forum	November 2017

PHARMACEUTICAL

 5th Annual Pharmacovigilance Forum 	March 2017
PP&R 6.0 (6th Annual Pharma Pricing & Reimbursement Forum)	September 2017
PP&L 6.0 (6th Annual Pharma Packaging & Labelling Convention)	November 2017
HUMAN RESOURCES	
7th HR Minds Forum	June 2017

ENERGY

REFIP 6.0 (6th Annual Renewable Energy Finance in Practice Forum) September 2017



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Corporate Security • Financial Crime • Fraud Fraud Investigation • Fraud Prevention and Detection Governance • Governance and Integration • Group Conduct Information Management • Internal Audit • Investigations IT Audit • Law Enforcement • Money Laundering Reporting Quality Assurance • Regulation • Regulation and Compliance Regulatory Compliance • Risk • Security Security and Investigations • Standards and many more...



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